



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bobsein

Docket No.: A01013

Application No.: 09/783,738

Group No.: not assigned

Filed: February 15, 2001

Examiner: not assigned

For: Binder Composition

RECEIVED  
SEP 05 2003  
TC 1700

PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT

Commissioner for Patents

Alexandria, VA. 22313-1450

Sir:

Applicants in the above-identified patent application became aware of the abandonment of their application upon receiving a Notice of Abandonment mailed July 14, 2003. Applicants respectfully assert that an error by the Patent Office has resulted in the Notice of Abandonment. Applicants respectfully request withdrawal of the holding of abandonment.

I. The present application, docket number A01013, was filed by the Rohm and Haas Company. The application was sent to the Patent Office by express mail on February 15, 2000 with all necessary parts for filing. This included:

Utility Patent Application Transmittal Form

Fee Transmittal Form (in duplicate)

Preliminary Amendment

Declaration

Certificate of Express Mailing

Return Receipt Postcard

The Free Transmittal Form authorized a payment of \$710 from the deposit account of Rohm and Haas Company. Copies of these documents as well as the Certificate of Express Mailing are included in Exhibit I. The inventors are employees of the Rohm and Haas Company and have assigned the present invention to the Rohm and Haas Company.

II. The Patent Office mailed a Filing Receipt and a Notice to File Missing Parts on April 5, 2001 (Exhibit II). The patent application, A01013, was assigned the application serial number 09/783,738.

III. Anne McGinley, an administrative aide in the Rohm and Haas Patent Department, contacted Janis Burse of the Corrections Branch of the United States Patent and Trademark Office, in response to the Notice to File Missing Parts. On April 13, 2001, Ms. McGinley sent by facsimile, copies of the Fee Transmittal Form, Certificate of Express Mailing, the Utility Patent Application Transmittal Form, and Preliminary Amendment. Copies of the facsimile as well of the facsimile receipt are included in Exhibit III.

IV. A Notice of Abandonment was mailed on July 14, 2003 indicating that a reply was not received.

V. The Monthly Statement of Deposit Account for Rohm and Haas Company for the February, 2001 was reviewed by Gary Greenblatt, a patent agent with the Rohm and Haas Company (Exhibit IV). The Statement showed that on February 23, 2001, a sum of \$710 was charged to the account for docket number A01013. However, the statement showed that the wrong serial number is listed for the patent application of docket number A01013. The column labeled "DESCRIPTION" lists serial number 09/784013. As mentioned above, the present application, A01013, has the serial number 09/783738. Further, a review

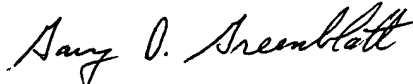
of this monthly statement did not show any other charges for docket number A01013 or any charges for serial number 09/783738. The monthly statement also shows that sufficient funds were available in the deposit account to pay the filing fee.

VI. A search of published patent applications showed that the patent application with serial number 09/784013 is not the present application of docket number A01013 (09/783738). Further, patent application 09/784013 does not belong to Rohm and Haas Company.

In summary, Applicants respectfully assert that an error by the Patent Office has resulted in abandonment of the present application. The present application was originally filed with all necessary parts including the proper filing fee of \$710. The Patent Office withdraw the sum of \$710 from the deposit account of Rohm and Haas Company. However, the Patent Office mistakenly credited this filing fee to a different patent application, resulting in a Notice of Abandonment for the present application.

In view of the above showing, the Applicants respectfully request withdrawal of abandonment.

Respectfully submitted,



Gary D. Greenblatt

Agent for Applicant

Registration No. 47,609

Telephone (215) 641-7103

Date: *Aug 26, 2003*  
ROHM AND HAAS COMPANY  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
Telephone : (215) 592-6758



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Bobsein

**Docket No.:** A01013

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**For:** Binder Composition

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA. 22313-1450

on the date indicated next to my signature below:

Petition to Withdraw the Holding of Abandonment

Exhibit I

Exhibit II


Exhibit III

Exhibit IV

Postcard

8/26/03

Date



Signature

*Exhibit I*



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013  
GDG/am

In re application of:  
Barrett Richard Bobsein et al

Serial No.: 60/187,109 : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION  
Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231


Certificate of Express Mailing

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal  
Nonprovisional Patent Application  
Fee Transmittal (in duplicate)  
Preliminary Amendment  
Declaration  
Certificate of Express Mailing  
Return Receipt Postcard

February 15, 2000  
Date

  
Signature

Express Mail # EK905906697US

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(h))

Attorney Docket No.

A01013

First Inventor or Application Identifier

Barrett Richard Bobsein

Title

Binder Composition

Express Mail Label No.

EK905906697US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages  ]  
(preferred arrangement set forth below)  
– Descriptive title of the Invention  
– Cross References to Related Applications  
– Statement Regarding Fed sponsored R & D  
– Reference to Microfiche Appendix  
– Background of the Invention  
– Brief Summary of the Invention  
– Brief Description of the Drawings (if filed)  
– Detailed Description  
– Claim(s)  
– Abstract of the Disclosure

3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets  ]
4. ☒ Oath or Declaration [Total Pages  ]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventors(s) named in the prior application,  
see 37 C.F.R. § 1.63(d)(2) and 1.33(b).

**\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \*Small Entity ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_

of prior application No. : \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

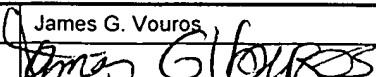
**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	James G. Vouros				
	Rohm and Haas Company				
Address	Patent Department				
	100 Independence Mall West				
City	Philadelphia	State	PA	Zip Code	19106-2399
Country	USA	Telephone	215-592-2564	Fax	215-592-2682

Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453
Signature		Date	2/15/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ ) 710

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Barrett Richard Bobsein
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	A01013

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION</b> (continued)																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>18-1850</u> Deposit Account Name <u>Rohm and Haas Company</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. <b>ADDITIONAL FEES</b>																																																																																																																									
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other Order		<table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 390</td><td>216 195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 890</td><td>217 445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,390</td><td>218 695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,890</td><td>228 945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,240</td><td>241 620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,240</td><td>242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 440</td><td>243 220</td><td>Design issue fee</td><td></td></tr><tr><td>144 600</td><td>244 300</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 710</td><td>246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 710</td><td>249 355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2">Other fee (specify) _____</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2">Other fee (specify) _____</td></tr><tr><td colspan="2"><b>SUBTOTAL (1) (\$ ) 710</b></td><td colspan="2"><b>SUBTOTAL (3) (\$ ) 0</b></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 390	216 195	Extension for reply within second month		117 890	217 445	Extension for reply within third month		118 1,390	218 695	Extension for reply within fourth month		128 1,890	228 945	Extension for reply within fifth month		119 310	219 155	Notice of Appeal		120 310	220 155	Filing a brief in support of an appeal		121 270	221 135	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,240	241 620	Petition to revive - unintentional		142 1,240	242 620	Utility issue fee (or reissue)		143 440	243 220	Design issue fee		144 600	244 300	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____		Other fee (specify) _____		Other fee (specify) _____		Other fee (specify) _____		<b>SUBTOTAL (1) (\$ ) 710</b>		<b>SUBTOTAL (3) (\$ ) 0</b>	
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<b>2. EXTRA CLAIM FEES</b> <p>Total claims <u>10</u> - 20** = <u>0</u> X <u>18</u> = <u>0</u> Independent Claims <u>3</u> - 3** = <u>0</u> X <u>80</u> = <u>0</u> Multiple Dependent <u>270</u> = <u>0</u></p> <p>**or number previously paid, if greater; For Reissues, see below</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td></tr><tr><td>102 80</td><td>202 40</td><td>Independent claims in excess of 3</td></tr><tr><td>104 270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td></tr><tr><td>109 80</td><td>209 40</td><td>**Reissue independent claims over original patent</td></tr><tr><td>110 18</td><td>210 9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr></tbody></table> <b>SUBTOTAL (2) (\$ ) 0</b>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	103 18	203 9	Claims in excess of 20	102 80	202 40	Independent claims in excess of 3	104 270	204 135	Multiple dependent claim, if not paid	109 80	209 40	**Reissue independent claims over original patent	110 18	210 9	**Reissue claims in excess of 20 and over original patent																																																																																																								
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453
Signature	<i>James G. Vouros</i>	Telephone	215-592-2564
		Date	2/15/01

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013  
GDG:am

In re application of:  
Barrett Richard Bobsein et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, DC 20231

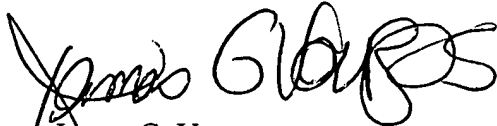
PRELIMINARY AMENDMENT AND PRIORITY REQUEST

This preliminary amendment is filed along with the filing of a non-provisional patent application transmittal form. This patent application claims the benefit of the earlier filing date of prior filed co-pending provisional application serial number 60/187,109 filed on March 6, 2000 under 37 CFR 1.78(a)(4). Please amend the specification by inserting before the first line the sentence:

--Cross Reference To Related Patent Applications

This is a non-provisional application of prior pending U.S. provisional application serial number 60/187,109 filed March 6, 2000.--

Respectfully submitted,



James G. Vouros  
Attorney for Applicants  
Registration No. 34,453  
Telephone No. (215) 592-2564

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
February 15, 2000



**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am

☐ the original, first and sole inventor

☒ an original, first and joint inventor

of the subject matter which is claimed and for which a patent is sought on the invention entitled Binder Composition, the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office information known to me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

☐ I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day, month, yr.)	Priority Claimed yes/no

[X] I hereby claim priority benefits under Title 35, United States Code, §119(e)(1) of any provisional application for patent listed below and have also identified below any provisional application for patent having a filing date before that of the application on which priority is claimed:

Provisional Appl. No.	Date of Filing (day, month, yr.)	Priority Claimed yes/no
60/187,109	03/06/00	Yes

[ ] I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent and Trademark Office information known to be material to patentability of this application, as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing (day, month, yr.)	Status - Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Marc S. Adler, Reg. No. 29,764; Ronald D. Bakule, Reg. No. 32,681; S. Matthew Cairns, Reg. No. 42,378; Clark R. Carpenter, Reg. No. 35,092; Paul S. Chirgott, Reg. No. 31,749; Wendy A. Choi, Reg. No. 36,697; Peter F. Corless, Reg. No. 33,860; Robert M. Didrick, Reg. No. 25,135; Stephen T. Falk, Reg. No. 36,795; Darryl P. Frickey, Reg. No. 34,603; Alan Holler, Reg. No. 29,266; Thomas J. Howell, Reg. No. 34,351; Michael S. Marcus, Reg. No. 31,727; Wayne E. Nacker, Reg. No. 29,571; Thomas D. Rogerson, Reg. No. 38,602; Jeffrey H. Rosedale, Reg. No. P-46,018; James G. Vouros, Reg. No. 34,453; Gerald K. White, Reg. No. 26,611 and Franklin D. Wolffe, Reg. No. 19,724.

Address all correspondence to Thomas J. Howell, Rohm and Haas Company,  
100 Independence Mall West, Philadelphia, Pennsylvania 19106-2399, telephone  
(215) 641-7275.

Full name of joint inventor Barrett Richard Bobsein

Inventor's signature Barrett Richard Bobsein

Date July 10, 2000

Residence 9 Narothyn Road, Sellersville, PA 18960

Citizenship US

Post Office Address Same as Above

Full name of joint inventor Jin-Chih Chiang

Inventor's signature Jin-Chih Chiang

Date July 10, 2000

Residence 105 Fairview Drive, Lansdale, PA 19446

Citizenship US

Post Office Address Same as Above

Full name of joint inventor Scott Lind Egolf

Inventor's signature Scott Lind Egolf

Date July 10, 2000

Residence 32 East Sixth St., Lansdale, PA 19446

Citizenship US

Post Office Address Same as Above

In connection with the below identified patent application, we hereby acknowledge receipt of:

Nonprovisional Patent Application  
Utility Patent Application Transmittal  
Fee Transmittal (in duplicate)  
Preliminary Amendment  
Declaration

DN. AC1013 Ser. No. — Filed —  
Certificate of Mailing: ☒ Yes ☐ No  
Date Mailed 2/15/01 Initials G.D.G./247

FORM 13663 6/94

Exhibit II



UNITED STATES PATENT AND TRADEMARK OFFICE

 4/13/01 cc: Larry Greenblatt  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/783,738	02/15/2001	1713	0.00	A01013		10	3

RECEIVED

APR 12 2001

CONFIRMATION NO. 2912

FILING RECEIPT



\*OC000000005940686\*

PATENT DEPARTMENT

 James G. Vquros  
 Rohm and Haas Company  
 Patent Department  
 100 Independence Mall West  
 Philadelphia, PA 19106-2399

Date Mailed: 04/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 Barrett Richard Bobsein, Sellersville, PA;  
 Jin-Chih Chiang, Lansdale, PA;  
 Scott Lind Egolf, Lansdale, PA;
**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/187,109 03/06/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 04/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Binder composition

**Preliminary Class**

524

---

**Data entry by : MURSHID, SHAMSA**

**Team : OIPE**

**Date: 04/05/2001**

Exhibit II



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,738	02/15/2001	Barrett Richard Bobsein	A01013

RECEIVED

APR 12 2001

PATENT DEPARTMENT

CONFIRMATION NO. 2912

FORMALITIES LETTER



\*OC000000005940687\*

James G. Vouras  
Rohm and Haas Company  
Patent Department  
100 Independence Mall West  
Philadelphia, PA 19106-2399

Date Mailed: 04/05/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

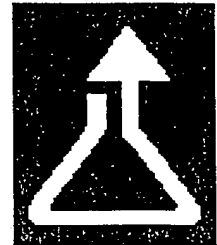
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

*Sm*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

ROHM AND HAAS COMPANY  
FACSIMILE TRANSMITTAL SHEET



DATE: April 13, 2001

TO: Ms. Janis Burse  
USPTO Corrections Branch

TELEPHONE NO. OF FACSIMILE MACHINE (703) 308-7751

FROM: Anne McGinley TELEPHONE NO: (215) 592-2015

ROHM AND HAAS COMPANY  
Patent Department  
100 Independence Mall West  
Philadelphia, PA 19106-2399

**PATENT DEPARTMENT FACSIMILE TELEPHONE NO. IS (215) 592-2682**

NUMBER OF PAGES (Including This Cover Sheet):

Re: Serial No.09/783,738 ( Our Ref: DN A01013)  
Filing Date: 2/15/01

Per our telephone conversation regarding Notice to File Missing Parts of Nonprovisional Application (Confirmation No. 2912) mailed 4/5/01. **Fee Transmittal for \$710. Filing Fee (Copy Attached)** was filed **February 15, 2001** with the Utility Patent Application Transmittal, Preliminary Amendment and Declaration.

Thank you for your assistance in correcting this.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT NAMED ABOVE. This transmittal may be a confidential attorney-client communication or may otherwise be privileged or confidential. If you have received this transmittal in error, any review, dissemination, distribution, or copying of this transmittal or the information which it contains is strictly prohibited. If you have received this transmittal in error, please notify us immediately by telephone (215-592-2015) and return the original transmittal to us by mail. Thank you.



## TRANSMISSION REPORT

(THU) APR 12 2001 10:43

ROHM &amp; HAAS PATENT DEPT. PHILA. PA USA

DOCUMENT #	3560147-066
TIME STORED	04. 12 10:40
TIME SENT	04. 12 10:41
DURATION	1' 52"
DESTINATION	917033087751
DST. TEL#	917033087751
MODE	ECM
PAGE(S)	6PAGE(S)
RESULT	OK
DEPARTMENT	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 710

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Barrett Richard Bobsein
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	A01013

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 18-1850 Deposit Account Name: Rohm and Haas Company <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money <input type="checkbox"/> Other Order		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
<b>FEE CALCULATION</b>			
1. BASIC FILING FEE			
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid			
101 710 201 355 Utility filing fee 710		105 130 205 65 Surcharge - late filing fee or oath	
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 490 207 245 Plant filing fee		139 130 139 130 Non-English specification	
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for reexamination	
114 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$ 710		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total claims 10 -20** = 0 X 18 = 0		116 390 216 195 Extension for reply within second month	
Independent Claims 3 -3** = 0 X 80 = 0		117 890 217 445 Extension for reply within third month	
Multiple Dependent 270 = 0		118 1,390 218 695 Extension for reply within fourth month	
**or number previously paid, if greater; For Reissues, see below		128 1,890 228 945 Extension for reply within fifth month	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		119 310 219 155 Notice of Appeal	
103 18 203 9 Claims in excess of 20		120 310 220 155 Filing a brief in support of an appeal	
102 80 202 40 Independent claims in excess of 3		121 270 221 135 Request for oral hearing	
104 270 204 135 Multiple dependent claim, if not paid		138 1,510 138 1,510 Petition to institute a public use proceeding	
109 80 209 40 **Reissue independent claims over original patent		140 110 240 55 Petition to revive - unavoidable	
110 18 210 9 **Reissue claims in excess of 20 and over original patent		141 1,240 241 620 Petition to revive - unintentional	
SUBTOTAL (2) (\$ 0		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 240 126 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		Other fee (specify) _____	
		Other fee (specify) _____	
SUBTOTAL (3) (\$ 0		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	James G. Vouros	Registration No.	34,453
Signature		Telephone	215-592-2564
		Date	2/15/01

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013  
GDG/am

In re application of:  
Barrett Richard Bobsein et al

Serial No.: 60/187,109 : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION  
Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

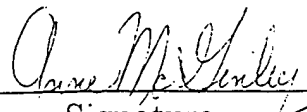
Certificate of Express Mailing

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal  
Nonprovisional Patent Application  
Fee Transmittal (in duplicate)  
Preliminary Amendment  
Declaration  
Certificate of Express Mailing  
Return Receipt Postcard

February 15, 2000  
Date

  
Signature

Express Mail # EK905906697US

Please type a plus sign (+) inside this box — ☐ +

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

A01013

First Inventor or Application Identifier

Barrett Richard Bobsein

Title

Binder Composition

Express Mail Label No.

EK905906697US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages (preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets - 4. ☒ Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventors(s) named in the prior application,  
see 37 C.F.R. § 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \*Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. : \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

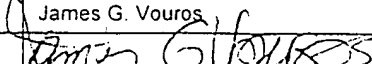
## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	James G. Vouras				
	Rohm and Haas Company				
Address	Patent Department				
	100 Independence Mall West				
City	Philadelphia	State	PA	Zip Code	19106-2399
Country	USA	Telephone	215-592-2564	Fax	215-592-2682

Name (Print/Type)	James G. Vouras	Registration No. (Attorney/Agent)	34,453
Signature		Date	2/15/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013  
GDG:am

In re application of:  
Barrett Richard Bobsein et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, DC 20231

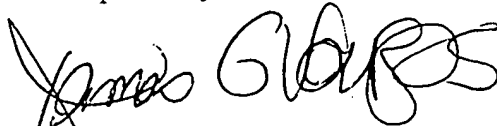
PRELIMINARY AMENDMENT AND PRIORITY REQUEST

This preliminary amendment is filed along with the filing of a non-provisional patent application transmittal form. This patent application claims the benefit of the earlier filing date of prior filed co-pending provisional application serial number 60/187,109 filed on March 6, 2000 under 37 CFR 1.78(a)(4). Please amend the specification by inserting before the first line the sentence:

--Cross Reference To Related Patent Applications

This is a non-provisional application of prior pending U.S. provisional application serial number 60/187,109 filed March 6, 2000.--

Respectfully submitted,



James G. Vouros  
Attorney for Applicants  
Registration No. 34,453  
Telephone No. (215) 592-2564

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
February 15, 2000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,738	02/15/2001	Barrett Richard Bobsein	A01013

CONFIRMATION NO. 2912

## FORMALITIES LETTER



\*OC000000005940687\*

James G. Vouros  
Rohm and Haas Company  
Patent Department  
100 Independence Mall West  
Philadelphia, PA 19106-2399

Date Mailed: 04/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

---

*A copy of this notice **MUST** be returned with the reply.*

*5/21*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Exhibit V



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Account No.	181850
Date	2-28-01
Page	1

ROHM & HAAS COMPANY  
ATTN: SANDY GREENLEE  
100 INDEPENDENCE MALL WEST

FINA

PHILADELPHIA PA 19105

**PLEASE SEND REMITTANCES TO:**  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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2	8	01	68	09450580	98-080	581	40.00	187937.58
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2	8	01	210	09562342	97-046A	561	18.00	186649.58
2	9	01	2	60266727	A01164	114	150.00	186499.58
2	9	01	3	09754942	99-040	581	40.00	186459.58
2	9	01	12	09468455	99-004	122	130.00	186329.58
2	9	01	41	09293301	DN98-010A	115	110.00	186219.58
2	9	01	59	60266950	A01162	114	150.00	186069.58
2	9	01	60	60266951	A01163	114	150.00	185919.58
2	9	01	108	60266644	A01158	114	150.00	185769.58
2	9	01	149	09154238	2690-06-99	115	110.00	185659.58
2	9	01	203	365769		566	120.00	185539.58

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2	20	01	49	75374172		364	150.00	174760.58
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2	21	01	3	370016		566	165.00	173585.58
2	21	01	158	370208		585	40.00	173545.58
2	21	01	224	09073282	DN-97-033	142	1240.00	172305.58
2	21	01	225	09073282	DN-97-033	561	18.00	172287.58
2	21	01	268	09276044	1658-02-99	142	1240.00	171047.58
2	21	01	269	09276044	1658-02-99	561	15.00	171032.58
2	21	01	310	370405		567	390.00	170642.58
2	22	01	20	09783376	99-047A	101	710.00	169932.58
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2	22	01	108	09433249	98-072	581	40.00	169546.58
2	22	01	109	09455996	98-074	581	40.00	169506.58
2	22	01	203	09391560	97-015	131	710.00	168796.58
2	22	01	345	371176		464	15.00	168781.58
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2	23	01	136	09784013	A01013	101	710.00	167771.58
2	26	01	6	5675037		183	850.00	166921.58
2	26	01	7	5676741		183	850.00	166071.58
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